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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/653,416	08/31/2000	Kenji Tagawa	2000 1199A	2000 1199A 4661		
7590 12/23/2005		EXAMINER				
Wenderoth Lind & Ponack LLP Suite 800			LAFORGIA, CHRISTIAN A			
2033 K Street	NW		ART UNIT	PAPER NUMBER		
Washington, DC 20006			2131			
			DATE MAILED: 12/23/200	DATE MAILED: 12/23/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

# Applicant(s) Application No. TAGAWA ET AL. 09/653,416 Interview Summary

•	Examiner	Art Unit	
	Christian La Forgia	2131	
All participants (applicant, applicant's representative, PTO	personnel):		
(1) <u>Christian La Forgia</u> .	(3) Jonathan Bowser (54,5	<u>74)</u> .	
(2) <u>Ayaz Sheikh</u> .	(4) <u>Yoshinobu Idogawa</u> .		
Date of Interview: 19 December 2005.			
Type: a)☐ Telephonic b)☐ Video Conference c)⊠ Personal [copy given to: 1)☐ applicant 2	t)⊠ applicant's representative	e]	
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.		
Claim(s) discussed: <u>1 and 5-13</u> .			
Identification of prior art discussed: <u>US Patent Nos. 6,418,46,421,685 (Nishikawa)</u> .	121 (Hurtado), 5,920,861 (Hali	l), 5,515,532 (lijii	<u>na),</u>
Agreement with respect to the claims f) was reached. g	)⊠ was not reached. h)□ N	I/A.	
Substance of Interview including description of the general reached, or any other comments:	nature of what was agreed to	if an agreement	was
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached	opy of the amendments that w	reed would rend rould render the	er the claims claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT FILE A STATEMENT OF THE SUBSTANCE OF THE INTERPRIEMENTS ON REVERSE SIDE OF THE SHEET.	last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM,	been filed, APP DAYS FROM I WHICHEVER IS	LICANT IS THIS LATER, TO
	21	2	

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

niper's signature, if required

#### **Summary of Record of Interview Requirements**

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted.
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

	U.S, Patent and	pproved for use through Trademark Office: U.S.	PTOL-413A (08-03) 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE				
Applicant Initiated Intervi	iew Request	Form					
Application No.: 09/653,416 First Named Applicant: Kenj Examiner: Christian Laforgia Art Unit: 2131	TAGAWA e Status of Appli final Office	ication: <u>Pendi</u>	ng - after non-				
Tentative Participants: (1) Jonathan R. Bowser, Reg. No. 54,574 (2) Yoshinobu Islandon	dogawa, represei	ntative of Assign	<u>ee</u>				
(3) <u>Examiner Ayaz Sheikh</u> (4) <u>Examiner Ch</u>	-						
Proposed Date of Interview: <u>December 19, 2005</u> Proposed	Time: <u>10:0</u>	00	AM				
Type of Interview Requested: (1) [] Telephonic (2) [X] Personal (3) [] Video Conf	erence						
Exhibit To Be Shown or Demonstrated: [] YES If yes, provide brief description:	X] NO						
Issues To Be Discussed							
Issues Claims/ Prior (Rej., Obj., etc) Fig. #s Art	Discussed	Agreed	Not Agreed				
(1) Rejection Clams 1 & 5-13 Applied References	[]	[]	[ ]				
(2) Concept of "moving" a content - claims and Figures 14 and 26	[]	[]	[]				
(3) Concept of "dividing" a content - claims and Figure 17	[]	[]	[ ]				
(4)	[]	[]	[]				
[] Continuation Sheet Attached							
Brief Description of Arguments to be Presented:							
The Examiner asserts that the applied references (Hurtado et a limitation of the independent claims, The applied references, in Examiner also asserts that an obviousness rejection cannot be a Notwithstanding the applied references' failure to disclose all lireferences do not even contemplate the objects of the present in combination, cannot be used to reject the claims of the preset taking bits and pieces of various non-pertinent references having invention to arrive at the claims of the present present inventions.	vereome by att mitations of the vention, and th nt application. ng different tecl n.	acking each ref cindependent cl erefore, the app The Examiner nnological objec	act nimetous.  The serious individually.  The se				
An interview was conducted on the above-identified application	1 O1		·				
NOTE: This form should be completed by applicant and submitted to (§ 713.01). This application will not be delayed from issue because of applinterview. Therefore, applicant is advised to file a statement of as soon as possible.	cant's failure to	submit a writt	en record of this				
(Examin	er/SPE Signatur	e)					

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the ChiefInformation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street, N.W., Suite 800 Washington, D.C. 20006

> Telephone: (202)721-8200 Facsimile: (202)721-8250

### FAX TRANSMISSION COVER SHEET

To:

Examiner Christian La Forgia

Group Art Unit 2131

Company Name:

USPTO

Fax Number:

571-273-3792

From:

Jonathan R. Bowser

Date:

December 8, 2005

Re:

Application Serial No. 09/653,416 TAGAWA et al.

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TOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING COVER SHEET 2

#### Message:

As per your request, transmitted herewith is an Applicant Initiated Interview Request Form briefly describing the issues that we propose to discuss with you in a personal interview. Representatives of the Assignee will be traveling from Japan for the interview, and our client has requested that your supervisor, Examiner Ayaz Sheikh, also participate in the interview. I have proposed an interview date of December 19, 2005, because that was our client's preferred date. Please contact me at 202-721-8204 at your earliest convenience so that we can schedule the interview. Thank you for your time and attention to this matter. I look forward to your response.

#### CONFIDENTIALITY

The documents transmilled herewith contain confidential and/or privileged information intended only for the use of the person or entity to whom addressed. If you are not the intended recipient, or an agent of the recipient responsible for delivering it to the Intended recipient, then you have received this transmission in error and are asked to promptly advise us by telephone or law, and return the document to us by mail. Unauthorized copying, distribution, disclosure or other use of this information by anyone other than the intended recipient or their designee is prohibited.

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Fax Operator: Nicole Jones